

**IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF TEXAS  
DALLAS DIVISION**

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

V.

STANFORD INTERNATIONAL BANK,  
LTD., ET AL.,

Defendants.



Case No. 3:09-CV-0298-N

**RECEIVER'S AND EXAMINER'S SEVENTEENTH JOINT ADVISORY  
TO THE COURT REGARDING PENDING MOTIONS**

Receiver Ralph S. Janvey (the “Receiver”) and Examiner John J. Little (the “Examiner”) hereby respectfully advise the Court that the following motions, pending in cases filed by the Receiver or the Official Stanford Investors Committee (“OSIC”), are now fully briefed and are awaiting ruling by the Court.<sup>1</sup> The Receiver and Examiner will continue to provide the Court with periodic updates to this advisory in fulfillment of their respective duties to keep the Court and investors informed regarding matters pertinent to this civil action.

1 This advisory does not include those motions that have been fully briefed but for which further action by the Court is currently stayed by agreement or by Court order. The Receiver and Examiner will add those motions to future advisories if and when the relevant stays are lifted.

**Motions for Summary Judgment Pending in District Court**

	<b><u>Date Filed</u></b>	<b><u>Case No.</u></b>	<b><u>Case Name</u></b>	<b><u>Doc. No.</u></b>	<b><u>Motion</u></b>
1.	April 24, 2015	3:11-cv-0041	<i>Janvey v. Univ. of Miami</i>	153	Plaintiffs' Motion for Summary Judgment
2.	November 19, 2015	3:10-cv-0366	<i>Janvey v. Venger et al.</i>	570	Defendants Eduardo and Jennifer Najera's Motion for Partial Summary Judgment Regarding the Receiver's Claim to Recover Principal Investment Proceeds
3.	December 22, 2015	3:09-cv-02384	<i>Rotstain et al. v. Trustmark National Bank et al.</i>	358	OSIC's Motion for Partial Summary Judgment Against SG Suisse
4.	March 19, 2018	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2486	Motion for Summary Judgment Against Timothy Bambauer

**Motions to Dismiss Receiver's or OSIC's Complaint Pending in District Court**

	<b><u>Date Filed</u></b>	<b><u>Case No.</u></b>	<b><u>Case Name</u></b>	<b><u>Doc. No.</u></b>	<b><u>Motion</u></b>
5.	March 26, 2014	3:13-cv-0762	<i>OSIC v. Bank of Antigua et al.</i>	18	Antigua & Barbuda's Motion to Dismiss Based on Foreign Sovereign Immunity and the Act of State Doctrine
6.	October 10, 2017	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2174	Defendants Jeffrey Adams's, Timothy W. Baughman's, and Thomas G. Rudkin's Motion to Dismiss for Failure to State a Claim

<b><u>Other Motions</u></b>					
<b><u>Other Motions Pending in District Court</u></b>					
	<b><u>Date Filed</u></b>	<b><u>Case No.</u></b>	<b><u>Case Name</u></b>	<b><u>Doc. No.</u></b>	<b><u>Motion</u></b>
7.	May 10, 2012	3:09-cv-0298	<i>SEC v. SIB et al.</i>	1592	Beverly DeLoach's Motion to Permit FINRA Arbitration Claim Number 09-00998 to Proceed Against Certain Named Individuals
8.	February 13, 2018	3:09-cv-0298	<i>SEC v. SIB et al.</i>	2679	ATP Tour, Inc.'s Objection to Second Amended Notice of Determination
9.	March 2, 2018	3:09-cv-0298	<i>SEC v. SIB et al.</i>	2691	Motion to Authorize Payment of Allowed CD Claim Distributions filed by ATP Tour, Inc.
10.	May 15, 2015	3:11-cv-0041	<i>Janvey v. Univ. of Miami</i>	157	Defendant's Motion for Relief Under Rule 60
11.	May 15, 2015	3:11-cv-0041	<i>Janvey v. Univ. of Miami</i>	162	Defendant's Objections to Plaintiffs' Summary Judgment Evidence and Motion to Strike
12.	May 15, 2015	3:11-cv-0041	<i>Janvey v. Univ. of Miami</i>	163	University of Miami's Motion for Leave to File Motion for Partial Summary Judgment
13.	November 19, 2015	3:12-cv-4641	<i>OSIC et al. v. Greenberg Traurig, LLP et al.</i>	153	Defendant Greenberg Traurig, LLP's Amended Motion for Leave to Designate Responsible Third Parties
14.	March 16, 2016	3:10-cv-0366	<i>Janvey v. Venger et al.</i>	640	Receiver's Motion for Leave to File First Amended Complaint Against Eduardo A. Najera and Jennifer M. Najera

15.	October 20, 2017	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2191	Mary T. Harris's Motion to Dismiss Third-Party Plaintiff Virgil Harris's Complaint
16.	October 20, 2017	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2195	Motion to Dismiss Alternative Counterclaims and Strike Affirmative Defenses Filed by 95 Former Stanford Employees
17.	December 6, 2017	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2287	Motion to Strike Affirmative Defense and Dismiss Alternative Counterclaim Filed by Timothy L. Bambauer
18.	April 24, 2018	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2566	Receiver's Motion for Award of Attorneys' Fees from Victoria Ancil
19.	April 24, 2018	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2567	Receiver's Motion for Award of Attorneys' Fees from Jose Cordero
20.	April 24, 2018	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2568	Receiver's Motion for Award of Attorneys' Fees from Ken Crimmins
21.	April 24, 2018	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2569	Receiver's Motion for Award of Attorneys' Fees from Neil Emery
22.	April 24, 2018	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2570	Receiver's Motion for Award of Attorneys' Fees from Torben Garde Due
23.	April 24, 2018	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2571	Receiver's Motion for Award of Attorneys' Fees from Faran Kassam
24.	April 24, 2018	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2572	Receiver's Motion for Award of Attorneys' Fees from Jason Likens

25.	April 24, 2018	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2573	Receiver's Motion for Award of Attorneys' Fees from Peter Siragna
26.	May 14, 2018	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2613	Agreed Motion to Dismiss with Prejudice (Juliana Franco)
27.	May 15, 2018	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2615	Agreed Motion to Dismiss with Prejudice (Ettore Ventrice)
28.	May 18, 2018	3:09-cv-0724	<i>Janvey v. Alguire et al.</i>	2622	Agreed Motion to Dismiss with Prejudice (Richard L. Bucher, Sr.)

Dated: May 18, 2018

Respectfully submitted,

**BAKER BOTTS L.L.P.**

By: /s/ Kevin M. Sadler

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**COURT-APPOINTED EXAMINER**

**CERTIFICATE OF SERVICE**

On May 18, 2018, I electronically submitted the foregoing document with the clerk of the court of the U.S. District Court, Northern District of Texas, using the electronic case filing system of the court. I hereby certify that I have served the Court-appointed Examiner, all counsel and/or pro se parties of record electronically or by another manner authorized by Federal Rule of Civil Procedure 5(b)(2).

/s/ Kevin M. Sadler

Kevin M. Sadler